



SHEET 1 OF 4

INFORMATION DISCLOSURE CITATION				ATTY. DOCKET NO. SUN-P9325		APPLICATION NO. 10/637,169	
PTO-1449				APPLICANT Marc Tremblay, et al.			
				FILING DATE 08 August 2003		GROUP ART UNIT 2183	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
RF	6,460,124	10-2002	Kagi et al.	711	163		
↓	2002/0087810	07-2002	Boatright et a.	711	145		
	2004/0186970	09-2004	Kekre et al.	711	62		
	2004/0162948	08-2004	Tremblay et al.	711	137		
	2003/066056	04-2003	Petersen et al.	717	137		
	6,578,088	06-2003	Singhal et al.	707	8		
	6,360,220	03-2002	Forin	707	8		
	5,940,827	08-1999	Hapner et al	707	8		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
RF	Publication entitled "Speculation-Based Techniques for Transactional Lock-Free Execution of Lock-Based Programs", by Ravi Rajwar, Online! 2002, XP002286237, Retrieved from the internet: URL: <a href="http://bbcr.uwaterloo.ca/{brecht/courses/856/readings-new/rajwar02speculationsbased.pdf">http://bbcr.uwaterloo.ca/{brecht/courses/856/readings-new/rajwar02speculationsbased.pdf</a>						
RF	Publication entitled "Checkpoint Processing and Recovery: Towards Scalable Large Instruction Window Processors", by Haitham Akkary et al., Proceedings of the 36 <sup>th</sup> International Symposium on Microarchitecture, 2003, IEEE						
RF	Publication entitled "Multiple Reservations and the Oklahoma Update", by Janice M. Stone et al., IEEE Parallel & Distributed Technology, November 1993, Pages 58-71.						
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
RF	2003/0079094	07-2002	Rajwar et al.	711	150		
RF	2002/0178349	11-2002	Shibayama et al.	712	235		
RF	6,681,311	01-2004	Gaskins, et al.	711	203		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
RF	Publication entitled "Improving the Throughput of Synchronization by Insertion of Delays", by Ravi Rajwar et al. Proceedings of the Sixth International Symposium on High-Performance Computer Architecture, 8-12 January 2000, Pages 168-179.						
RF	Publication entitled "Checkpoint Processing and Recovery: An Efficient, Scalable Alternative to Reorder Buffers" Haitham Akkary et al. IEEE Computer Society, November-December 2003, Pages 11-19.						
RF	Publication entitled "Multi-view Memory to Support OS Locking For Transaction Systems", P. Bodorik et al., IEEE, 1997, Pages 309-318.						
RF	Publication entitled "Indexing for Multiversion Locking: Alternatives and Performance Evaluation", Paul M. Bober et al, IEEE Transactions on Knowledge and Data Engineering, Vol. 9, No1, January-February 1997, Pages 68-84.						
RF	Publication entitled: "Transactional Execution: Toward Reliable, High-Performance Multithreading" by Ravi Rajwar et al. IEEE Computer Society, November-December 2003, Pages 117-125						
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## SHEET 3 OF 4

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449				ATTY. DOCKET NO. SUN-P9330CIP		APPLICATION NO. 10/637,169	
				APPLICANT Marc Tremblay, et al.			
				FILING DATE 08 August 2003		GROUP ART UNIT 2183	

  

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
RF	6,941,449	09-2005	Ross	712	35	
RF	6,021,480	03-2002	Petty	711	201	
RF	6,918,012	07-2005	Venkitakrishnan et al	711	150	
RF	5,701,432	12-1997	Wong et al.	711	130	
RF	5,974,438	10-1999	Neufeld	718	104	

  

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

  

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
RF	"Structured Computer Organization" by Andrew S. Tanenbaum, Published 1999, pages 5, 7-8
RF	"The Transaction Concept: Virtues and Limitations" by Jim Gray. Pro. Int'l Conf. Very Large Databases, Morgan Kaufman, 1981. pages 144-154
RF	"Toward Efficient and Robust Software Speculative Parallelization on Multiprocessors" by Marcelo Cintra and Diego R. Lianos, PPOPP' 03 June 11-13, 2003 ACM 1-58113-588-2/03/0006 pages 13-24.
RF	"Microsoft Computer Dictionary" Fifth Edition, pub 2002, page 378

  

EXAMINER	DATE CONSIDERED
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<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
RF	6,185,577	02-2001	Nainani et al.				
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation Yes    No	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER /Robert Fennema/				DATE CONSIDERED 05/12/2006			

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